



%G 0LQ S %G 0LQ S \$PHQG HG %G 0LQ
 5HYLVHG \$PHQG HG \$PHQG HG

\$ 5HODWLYHV RI &XUDWRUV 1RW WRRESHPSOR\ZKR LV UHODWHG E\ E
 PDUULDJH WR DQ\ FXUUHQW PHPEHU RI ~~ZWKH\%RDUG RI &XUDWRUV~~
~~E\ FRQVDQJXLQLW\ RU DIILQLW\ VKDOO EHFPH DQ HPSOR\HH RI V~~
~~DSSRLQWHG WR DQ\ SRVLWLRQ LQ WKH XQLYHUVLW\ DV RIILFHU P~~
~~HPSOR\GXULQJ WKH WLPH VXFH FXUDWRU LV D PHPEHU RI WKH %RD~~
~~)XUWKHU QR PHPEHU RI WKH %RDUG RI &XUDWRUV GXULQJ WKH V~~
~~PHPEHU RI WKH %RDUG RI SUDWRUUDGWHVIRU RU LQIOXHGFH~~
~~DQ\ ZD\ WKH KLULQJ RU DSSRLQWPHQW RI DQWSDQVREYH DQ HPSO~~
~~8QLYHUVLW\ DQ\ DILOLDWHG HQZKR LV UDODWHG EORRG RU PDUU~~
~~DQ\ FXUUHQW PHPEHU RI WKH %RDUG RI &XUDWRUV ZLWKLQ WKH I~~
~~FRQVDQJXLQLW\ RIRDHIFHSWLRQV VKDOO EH PDGH WR WKLV UXOH~~
 % (PSOR\HHV 1RW WR +LH RU \$SSRLQW HPSOR\HH RI WKH 8QLYHUVL
 VKDOO KLUH RU DSSRLQW DQ LQGLYLGXDO ZKR LV UHODWHG E\ EO
 IRXUWK GHJUHH E\ FRQVDQJXLQLW\ RU DIILQLW\ WR WKH HPSOR\H
 KLUH RU DSSRLQW 1R H[FHSWLRQV VKDOO EH PDGH WR WKLV UXO
 & 5HVWULFWLRQV RQ EHLQJ \$GPLQLVWUDWLYH ~~IRXUWKHUV~~ 5HODW
 DQ\ RWKHU SURYLVLQR RI 8QLYHUVLW\ SROLF\ LQFRQVLVWHQW KH
 SURKLELWLRQV IRXQG LQ 6HFWLRQ \$ DQG 6HFWLRQ % DI
 FRPSOLHG ZLWK QR HPSOR\HH RI WKH 8QLYHUVLW\ VKDOO EH WKH
 DQ LQGLYLGXDO ZKR LV UHODWHG E\ EORRG RU PDUULDJH ZLWKLQ
 FRQVDQJXLQLW\ RU DIILQLW\ WR WKDW HPSOR\HH
 ' 1R 2WKHU 5HVWULFWLRQV RQ (PSOR\PHQW RI WKODWLRKHUVHVWULF
 IRXQG LQ 6HFWLRQ \$ 6HFWLRQ % DQG 6HFWLRQ &
 8QLYHUVLW\ LPSRVHV QR UHVWULFWLRQV RQ WKH HPSOR\PHQW RI
 KLUH RU DSSRLQWHG SXUVXDQW WR QRPDO 8QLYHUVLW\ KXPDQ
 HPSOR\PHQW RSSRUWXQLW\ SROLFLHV
 ('HILQLWLRQV SIROORZLQJ FKLOGUHQ JUDQGFKLOGUHQ JUHDW JUD
 JUDQGFKLOGUHQ SDUHQWV JUDQGSDUHQWV JUHDW JUDQ
 JUHDW JUDQGSDUHQWV EURWKHUV JUDQGLQVSHKHZVQHSKHZ

QLHFHV JUDQG QLHFHV XQFOHV JUDQG XQFOHV DXQW
 ILUVW FRXVLQV
 E 5HODWLYHV E\ 0DUULDJH :LWK WKH)\$SUHWKRQJUHH
 UHODWHG E\ PDUULDJH DIILQLW\ DV FORVHO\ DV WKH IF
 SHUVRQ V VSRXVH DQG WR WKH VSRXVH V IROORZLQJ EO
 FKLOGUHQ JUDQGFKLOGUHQ JUHDW JUDQGFKLOGUHQ J
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QHFHV JUDQG QLHFHV XQFOHV JUDQG XQFOHV DXQWV
ILUVW FRXVLQV
F 2WKHU 3HUVRQV &RQVLGHUHG D7K 5HODOW LEYOHV RRG VKDOO E